

# THE **FEDERAL RESERVE**

 Financial Services

## Fedwire<sup>®</sup> Funds Service Security Procedure Agreement Operating Circular 6 – Appendix A-1

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### \*Required Fields

### Section 1: Service Description and Agreement Instructions

The Fedwire Funds Service Security Procedure Agreement is Appendix A-1 to Operating Circular 6 – Funds Transfers through the Fedwire Funds Service. This agreement must be completed by all Fedwire Funds Service customers.

The agreement must be ink signed by an individual listed on your financial institution's Official Authorization List (OAL). The Federal Reserve Bank requires you to mail the **original** of the completed agreement to the Federal Reserve Banks' Customer Contact Center at the address listed below. Please retain a copy of the completed agreement for your records. Any agreement that is incomplete will be returned to the sender.

**For assistance completing this agreement, please contact the Wholesale Operations Site at (800) 333-2448, option 2 or (800) 327-0147, option 2.**

**Mail the original of the completed agreement to the Federal Reserve Banks' Customer Contact Center at:**

Customer Contact Center  
Federal Reserve Bank of Kansas City  
P.O. Box 219416  
Kansas City, MO 64121-9416

### Section 2: Customer Information

<b>Financial Institution Name*</b>			
<b>Identification Number (RTN)*</b>			
<b>Street Address*</b>			
<b>City*</b>			
<b>State*</b>			
<b>Zip Code*</b>			
<b>Main Phone Number</b>	<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>

**Section 3: Service Specific Information**

To: Federal Reserve Bank of \_\_\_\_\_  
Administrative Reserve Bank (or Reserve Bank holding the Master Account)

We, the institution identified in section 2 above, agree to the provisions of your Operating Circular No. 6 and its appendices A and B, and if applicable D (“Circular”), as amended from time to time.

If we (or a Service Provider that we have designated under Appendix C) use an encrypted communications line with access controls for the transmission or receipt of a payment order to or from a Reserve Bank, we choose the On-Line Security Procedure offered as generally described in Appendix A to the Circular. This Security Procedure will be used for the purpose of verifying that a payment order or a communication amending or canceling a payment order (collectively a “payment order”) was issued or received by us.

If we (or a Service Provider that we have designated under Appendix C) use the telephone to orally transmit or receive a payment order to or from a Reserve Bank, we reject the On-Line Security Procedures offered by you and choose the Off-Line Security Procedures generally described in Appendix A to the Circular. This Security Procedure will be used for the purpose of verifying that a payment order was issued or received by us.

Whenever we (or a Service Provider that we have designated under Appendix C) choose to use one of your Security Procedures, we reject the other Security Procedures, and if any one of the rejected Security Procedures is commercially reasonable for us, we agree to be bound by any payment order, whether or not authorized, if it was issued in our name and accepted by a Reserve Bank in compliance with the Security Procedure we selected, subject to Section 4A-203 of Article 4A of the Uniform Commercial Code.

We understand that the On-Line and Off-Line Security Procedures will not be used to detect an error in the transmission or content of a payment order.

We also understand and agree that the Security Procedures established by this agreement may be changed only by an amendment to Appendix A, which may be published on a Reserve Bank’s website, or other written agreement. This agreement may not be changed by an oral agreement or by a course of dealing or custom.

**Section 4: Authorization**

The undersigned is signing this agreement on behalf of the institution identified in section 2 above.

*The signer of this agreement must appear as an authorized individual on your financial institution’s OAL currently on file with the Federal Reserve Banks.*

<b>Authorized Signer Name*</b>	<i>First</i>	<i>MI</i>	<i>Last</i>
<b>Authorized Signer Email Address*</b>			
<b>Authorized Signer Phone Number*</b>	<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>
<b>Authorized Signature*</b>			<i>Date Signed</i>

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