

EFFECTIVE DATE: August 19, 2022

The Board of Governors of the Federal Reserve System has adopted final [Guidelines for Evaluating Account and Services Requests](#). The Guidelines became effective on August 19, 2022. These Guidelines are applicable to all Federal Reserve account and financial services requests and supersede the New York Fed's Account and Financial Services Handbook for considering such requests. The adoption of the Guidelines does not relieve existing New York Fed customers of their obligations under the Handbook or under terms governing the account and services relationship with the New York Fed.

APPENDIX B
TO
FEDERAL RESERVE BANK OF NEW YORK
ACCOUNT AND FINANCIAL SERVICES HANDBOOK

Form of Security Requirements Attestation

To: The Federal Reserve Banks

Re: **Attestation Regarding Performance of Self-Assessment of Compliance with Security Requirements**

Each of the undersigned officers, based on his or her knowledge, makes the following attestations as of _____ on behalf of _____ (the "Financial Institution").
(Date) (Name of Financial Institution)

Each term used, but not defined, has the meaning given to such term in the Federal Reserve Bank of New York Accounts or Federal Reserve Financial Services Handbook (as amended, supplemented, or otherwise modified from time to time), effective as of February 25, 2020 (the "**Handbook**").

1. I understand the Financial Institution's responsibility to adhere to the security policies, procedures, and requirements set forth in the Federal Reserve Banks' Operating Circular 5 and its Appendix A, including those for the Financial Institution's use of FedLine® Solutions and associated electronic connections used to access Federal Reserve Bank services or applications.

2. I confirm that the Financial Institution (or an independent third party) has conducted an assessment of its compliance with the security policies, procedures, and requirements identified in paragraph 1 (the “**Security Requirements Assessment**”). The Financial Institution calibrated the Security Requirements Assessment based on its view of the risks it faces with respect to complying with such policies, procedures, and requirements.
3. I further confirm that the Security Requirements Assessment was either: **(i)** conducted by an independent third party; **(ii)** conducted by an independent internal function such as internal audit or compliance; or **(iii)** to the extent the Security Requirements Assessment was conducted by a non-independent party or function, an independent third party reviewed the work conducted in connection with the Security Requirements Assessment to establish that it was designed and conducted in a manner reasonably sufficient to identify any material noncompliance with the security policies, procedures, and requirements identified in paragraph 1.
4. To the extent the Financial Institution uses a third party service provider or any other agent with respect to an electronic connection used to access Federal Reserve Bank services or applications, I understand that the Financial Institution is responsible for that service provider’s or other agent’s compliance with the security policies, procedures, and requirements identified in paragraph 1.
5. The Financial Institution has remediation plans in place, including appropriate procedures to escalate concerns to the appropriate leaders within the Financial Institution, to promptly address any areas of noncompliance with the security policies, procedures, and requirements identified in paragraph 1.
6. I understand that the Financial Institution or its service provider or any other agent must immediately notify the Federal Reserve Bank’s Customer Contact Center by telephone at (888) 333-7010 of any suspected or confirmed fraud, infringement, or security breach relating to any electronic connection and must promptly confirm that notification in writing.
7. The Financial Institution shall maintain in its records: **(i)** the Security Requirements Assessment; **(ii)** appropriate documentation supporting the results of the Security Requirements Assessment; and **(iii)** a copy of this signed attestation letter.

IN WITNESS WHEREOF, each of the duly authorized officers has executed this Security Requirements Attestation as of the date first written above:

Signature:¹

¹ The attestation must be signed by a senior management official or executive office in charge of electronic payments operations or payments security for the Financial Institution.

Name and Title: