

# THE **FEDERAL RESERVE**

 Financial Services

## Fedwire<sup>®</sup> Funds and Fedwire Securities Service Third Party Service Arrangement Operating Circular 6 – Appendix C

---

### \*Required Fields

### Section 1: Service Description and Form Instructions

The Third Party Service Arrangement form is Appendix C to Operating Circular 6 – Funds Transfers through the Fedwire Funds Service. The form is used by a financial institution that is a Fedwire Participant to authorize another entity to act as its Service Provider with respect to the Fedwire Funds Service and/or the Fedwire Securities Service.

Section 3A of the form must be ink signed by an individual listed on your financial institution's Official Authorization List (OAL) and section 3B of the form must be ink signed by an individual listed on your Service Provider's OAL. The Federal Reserve Bank requires you to mail the **original** of the completed form to the Federal Reserve Banks' Customer Contact Center at the address listed below. Please retain a copy of the completed form for your records. Any form that is incomplete will be returned to the sender.

**For assistance completing this form, please contact the Wholesale Operations Site at (800) 327-0147, option 2 or (800) 333-2448, option 2.**

**Mail the original of the completed form to the Federal Reserve Banks' Customer Contact Center at:**

Customer Contact Center  
Federal Reserve Bank of Kansas City  
P.O. Box 219416  
Kansas City, MO 64121-9416

### Section 2: Customer & Service Provider Information

<b>Financial Institution Name*</b>			
<b>Identification Number (RTN)*</b>			
<b>Street Address*</b>			
<b>City*</b>			
<b>State*</b>			
<b>Zip Code*</b>			
<b>Main Phone Number*</b>	<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>

<b>Service Provider Name*</b>				
<b>Identification Number (RTN/ETI)*</b> <i>Required only if Service Provider has an Identification Number</i>				
<b>Street Address*</b>				
<b>City*</b>				
<b>State*</b>				
<b>Zip Code*</b>				
<b>Main Phone Number*</b>		<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>

### Section 3: Service Specific Information

#### A. Financial Institution

In accordance with section 18 of Operating Circular 6, we designate the Service Provider named in section 2 as our Service Provider for the following service(s):

#### **Production & Contingency**

- Fedwire Funds
  Fedwire Securities
  Both

#### **Contingency Only<sup>1</sup>**

- Fedwire Funds
  Fedwire Securities
  Both

We authorize the above designated Service Provider to act on our behalf as specified in Operating Circular 6, including the selection of a security procedure. If our Service Provider will be operating at a location outside of the United States, we represent and warrant that the Third Party Service Arrangement will not result in our noncompliance with any U.S. State and Federal laws and regulations, including but not limited to privacy laws and retaining and making accessible records in accordance with the Bank Secrecy Act and regulations promulgated thereunder.

The undersigned is signing this agreement on behalf of the financial institution identified in section 2 above.

*The signer of this form must appear as an authorized individual on your financial institution's OAL currently on file with the Federal Reserve Banks.*

<b>Authorized Signer Name*</b>	<i>First</i>	<i>MI</i>	<i>Last</i>
	<b>Authorized Signer Email Address*</b>		
<b>Authorized Signer Phone Number*</b>	<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>
	<b>Authorized Signature*</b>		
			<i>Date Signed</i>

<sup>1</sup> If a Fedwire Participant intends to use its Service Provider for contingency purposes only, the Reserve Bank may send Funds Transfers and/or Securities Transfers, acknowledgments and other advices and notices to the Fedwire Participant or the Service Provider.

**B. Service Provider**

We agree to the terms of your Operating Circular 5, and Operating Circulars 6 and 7 as appropriate, and agree to act as a Service Provider for the financial institution identified in section 2. We plan to provide these services from our offices located at:

Address	City	State	Zip
---------	------	-------	-----

If this location is outside of the United States, we agree that Operating Circular 5, and Operating Circulars 6 and 7 as appropriate, and this Appendix C are governed by the federal law of the United States of America and, to the extent not inconsistent therewith, the law of the state in which the Reserve Bank's head office is located (excluding that state's law regarding conflicts of law) and hereby:

- irrevocably submit to the exclusive jurisdiction of the U.S. District Court and Division where the head office of the Reserve Bank is located with respect to any suit, action or proceeding arising out of or relating to this Third Party Service Provider Arrangement, and hereby irrevocably agree that all such matters may be heard and determined in such court;
- expressly submit to the jurisdiction in personam of such court and waive any objection to venue in such court with respect to any suit, action or proceeding arising out of or relating to this Third Party Service Provider Arrangement; and
- irrevocably appoint \_\_\_\_\_, with an office at

\_\_\_\_\_  
(address), as our agent to receive on our behalf service of copies of the summons, complaint and any other process which may be served in any suit, action or proceeding referred to above.

The undersigned is signing this agreement on behalf of the Service Provider identified in section 2 above.

*The signer of this form must appear as an authorized individual on the Service Provider's OAL currently on file with the Federal Reserve Banks.*

<b>Authorized Signer Name*</b>	<small>First</small>	<small>MI</small>	<small>Last</small>
<b>Authorized Signer Email Address*</b>			
<b>Authorized Signer Phone Number*</b>	<small>Country Code</small>	<small>Phone</small>	<small>Extension</small>
<b>Authorized Signature*</b>			<small>Date Signed</small>

<b>Federal Reserve Use Only</b>
---------------------------------

The Financial Services logo and "Fedwire" are registered service marks of the Federal Reserve Banks. A complete list of marks owned by the Federal Reserve Banks is available at [FRBservices.org](http://FRBservices.org).

Last updated: December 2019