

FedACH[®] Participation Agreement

Part 7: Merger Options

*Required Fields

Section 1: Service Description and Form Instructions

Listed below are options available to the survivor in a merger or acquisition to assist in converting the delivery of ACH transactions from the "non-survivor" Routing Transit Number (RTN) to the new "survivor" RTN. Check the options to be applied to the non-survivor indicated below.

For detailed instructions on completing this agreement, please refer to the [Participation Agreement Instructions](#) located at FRBServices.org.

For additional assistance completing and/or submitting this form, please contact Federal Reserve Bank Sales Support at 800-257-6701.

Send completed forms to Customer Contact Center at:
ccc.bankservices@kc.frb.org

Section 2: Customer Information

Survivor/Participating Institution Name*			
Identification Number (RTN/ETI)*			
Contact Name*	<i>First</i>	<i>MI</i>	<i>Last</i>
Contact Phone Number*	<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>
Contact Email Address*			

Section 3: Service Specific Information / Customer Specific Requests

Requested Effective Date* (ACH Process Date) <i>(Must be received by the Reserve Bank at least ten business days prior to the requested effective date. Actual effective date may vary from requested date.)</i>	
Name of Non-Survivor Participating Institution*	
Non-Survivor Identification Number (RTN/ETI)*	

Option Selections	
<input type="checkbox"/> Add <input type="checkbox"/> Delete	<p>AUTOMATED NOTIFICATIONS OF CHANGE: FedACH can create automated NOCs for items received by a non-survivor or obsolete RTN in the case of mergers and acquisitions. These NOCs are created with a "C02" (Incorrect Routing Number). If any additional changes are needed such as "account number," the survivor will still have to initiate the NOCs. Auto NOCs should not be used if the survivor RTN and the non-survivor previously used duplicate account numbers. A fee will be charged for each automated NOC. This includes the functionality usage fee and the NOC processing fee. When this option is chosen, <i>Notification of "Merger" on the Composite Receiver File (CRF)</i>, is automatically applied.</p>
<input type="checkbox"/> Add <input type="checkbox"/> Delete	<p>NOTIFICATION OF "MERGER" ON THE COMPOSITE RECEIVER FILE (CRF): This option will cause the "merged" status of a non-survivor RTN and the survivor's RTN to be indicated in the automated "Composite Receiver File" and the web based E-Payments Routing Directory. These are used by many originators, including the Treasury, to determine the correct RTN to use for an ACH participant. If you choose this option, originators may begin sending ACH items to the surviving RTN based on the information contained in the CRF without changing account numbers. This may cause issues if the survivor RTN and the non-survivor previously used duplicate account numbers. Please verify that no "posting" problems will occur that are associated with the merger. You must be able to post items by account number only.</p>
<input type="checkbox"/> Add <input type="checkbox"/> Delete	<p>SETTLEMENT AND BILLING ROLL-UP FEATURE: You may wish to have the settlement and service billing fees for the non-surviving RTN rolled up to the account of the survivor or head office RTN. If you choose this option, there will NOT be separate accounting entries, advices, or billing statements created for the non-survivor.</p>
<input type="checkbox"/> Delete Only	<p>DELETION OF OBSOLETE ROUTING NUMBER: When deleting a non-survivor or obsolete RTN from the ACH database, the volume should be '0' or at an activity level that you believe warrants deletion. After the RTN has been removed from the ACH database, any items (both commercial and government; debits and credits) will be rejected by the ACH operator and returned to the originating institution with an "R-13" reason code. Because of the serious consequences of this option, we strongly recommend that you exhaust all efforts to notify any originators sending items to a RTN before you delete that RTN.</p>

Section 4: Authorized Approval

Authorized Signer Name*	<i>First</i>	<i>MI</i>	<i>Last</i>
Authorized Signature* <i>(Authorized ACH signer on Official Authorization List)</i>			

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