



FedACH[®] Services Notification of Change (NOC) Exception Fax Form for IAT Items Instructions

When to use this Form

The FedACH[®] Services Notification of Change (NOC) Exception Fax Form for IAT Items allows you to return an item when the electronic methods are not an option and is intended as a last resort. To avoid processing delays, it is important the form be accurately completed prior to submission. These instructions are designed to provide guidance in completing the form but do not supersede the NACHA Rules. Refer to and follow the NACHA Rules.

*** Mandatory Fields (Mandatory for processing)**

**** Required Fields (Required by RDFI to process)**

*****Optional Fields**

Section 1: Service Description and Form Instructions

This Exception Fax service is intended as a last resort when the electronic methods are not functional. The Processing Fee for submitting this exception form is listed at frbservices.org. You will receive a call within 24 hours to confirm this transaction. If you do not receive a call, please call **(877) 372-2457**. If you are requesting delivery on current banking day, paper NOCs must be received by 8:00 a.m. ET; however, the Reserve Banks are not able to guarantee that the item will be processed on that day. The Reserve Banks will make best efforts, subject to capacity constraints, to process the item but will not input the item into the FedACH application for processing until after the transaction is confirmed through the call back process and the information is released as an ACH file to the FedACH application for processing.

For additional assistance completing and/or submitting this form, please refer to these instructions and/or contact FedACH and Check Services Customer Support at (877) 372-2457.

Section 2: Customer Information

1. Depository Financial Institution (DFI) Name			
2. DFI Identification Number (RTN)			
3. Contact Name for Individual Completing Form	<i>First</i>	<i>MI</i>	<i>Last</i>
4. Contact Phone Number	<i>Area/Country Code</i>	<i>Phone</i>	<i>Extension</i>
5. Contact Email Address			

Section 3: Notification of Change (NOC) Item Exception Form for IAT

Any field that contained data on the original item must be completed on this form.

6. Change Code*	Choose a Change Code
7. Transaction Code*	Choose a Transaction Code
8. Foreign Exchange Indicator*	Choose a Foreign Exchange Indicator
9. Foreign Exchange Reference Indicator**	Choose a Foreign Exchange Reference Indicator
10. Company Entry Description*	
11. Foreign Exchange Reference**	
12. Original 15 Digit Trace Number*	
13. ISO Destination Country Code*	
14. DFI Account Number (Receiver's Account Number)**	
15. ISO Orig Currency Code*	
16. Effective Entry Date**	
17. ISO Dest Currency Code*	
18. Corrected Data*	
19. Orig ID*	
20. Recv DFI ID*	
21. GO / Orig DFI ID*	
22. Number of Addenda Records*	

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Section 1: Service Description and Form Instructions

This section provides a description of this service.

Section 2: Customer Information

This section allows you to provide information about your institution as the Depository Financial Institution (DFI). This information is **required** by the Federal Reserve Banks in order to process the form.

1. **Depository Financial Institution (DFI) Name** - Your institution's name.
2. **DFI Identification Number (RTN)** - The routing number (RTN) associated with the transaction.
3. **Contact Name for Individual Completing Form** - The point of contact, at your institution, that is completing this form.
4. **Contact Phone Number** - A direct telephone number listed on file with the ACH department at the Federal Reserve Banks; include an extension where applicable.
5. **Contact Email Address** - The email address of the point of contact.

Section 3: Notification of Change (NOC) Item Exception Form for IAT

Any field that contained data on the original item must be completed on this form.

This section must be completed, in the corresponding applicable field(s), with the data from the original item you received.

6. **Change Code*** - The code corresponding to the reason for the correction. **This is a mandatory field.**
7. **Transaction Code*** - The original account type and the return transaction code that corresponds to the original transaction code. For example, if you received an account type Demand Credit with transaction code 22, you would select 21 - Demand Credit in this field. This will result in a debit entry to your institution. Refer to the transaction code table below. **This is a mandatory field.**

IF YOU RECEIVED TRANSACTION CODE ACCOUNT TYPE	USE TRANSACTION CODE ACCOUNT TYPE
22 - DEMAND CREDIT	21 - DEMAND CREDIT
27 - DEMAND DEBIT	26 - DEMAND DEBIT
32 - SAVINGS CREDIT	31 - SAVINGS CREDIT
37 - SAVINGS DEBIT	36 - SAVINGS DEBIT
42 - GENERAL LEDGER CREDIT	41 - GENERAL LEDGER CREDIT
47 - GENERAL LEDGER DEBIT	46 - GENERAL LEDGER DEBIT
52 - LOAN ACCOUNT CREDIT	51 - LOAN ACCOUNT CREDIT
55 - LOAN ACCOUNT DEBIT	56 - LOAN ACCOUNT DEBIT

8. **Foreign Exchange Indicator*** - This field is 2 digits; (Alphameric). Located in the Company Batch Header Record (5 record) - **This is a mandatory field.**
9. **Foreign Exchange Reference Indicator**** - This field contains a code used to indicate the content of the Foreign Exchange Reference Field, 1 digit field; (Numeric). Located in the Company Batch Header Record (5 record). **This is a required field.**
10. **Company Entry Description*** Can be up to 10 digits; (Alphameric). Located in the Company Batch Header Record (5 record). **This is a mandatory field.**

- 11. Foreign Exchange Reference**** - This field contains either the foreign exchange rate used to execute the foreign exchange conversion of the entry or another reference number to the foreign exchange transaction. **Content is defined by the Foreign Exchange Reference Indicator Field (number 9 of these instructions)**, up to 15 digits; (Alphameric). Located in the Company Batch Header Record (5 record). **This is a required field.**
- 12. Original 15 Digit Trace Number*** - The 15 digit trace number of the original transaction. The first 8 digits of the trace number is the routing number (RTN) of the institution that originated the original item; (Numeric). Located in the Entry Detail Record (6 record). **This is a mandatory field.**
- 13. ISO Destination Country Code*** - This field is 2 digits; (Alphameric). Located in the Company Batch Header Record (5 record). **This is a mandatory field.**
- 14. DFI Account Number (Receiver's Account Number)**** - The account number where the item posted, can be up to 35 digits; (Alphameric). Located in the Entry Detail Record (6 record). **This is a required field.**
- 15. ISO Orig Currency Code*** - This field is 3 digits; (Alphameric). Located in the Company Batch Header Record (5 record). **This is a mandatory field.**
- 16. Effective Entry Date**** - The effective entry date of the original transaction, 6 digits YY-MM-DD; (Numeric). Located in the Company Batch Header Record (5 record). **This is a required field.**
- 17. ISO Dest Currency Code*** - This field is 3 digits; (Alphameric). Located in the Company Batch Header Record (5 record). **This is a mandatory field.**
- 18. Corrected Data*** - This field should include the corrected information corresponding to the change code selected in number 6 of these instructions, (Alphameric). **This is a mandatory field.**
- 19. Orig ID*** - The ID of the Originator, can be up to 10 digits; (Alphameric). Located in the Company Batch Header Record (5 record). **This is a mandatory field.**
- 20. Recv DFI ID*** - The RTN of the institution receiving this return transaction. This field is 8 digits; (Numeric). This should match the first 8 digits of the **Original 15 Digit Trace Number (number 12 of these instructions)**. **This is a mandatory field.**
- 21. Orig DFI ID*** - The RTN of the institution filling out this form. This field is 8 digits; (Numeric). **This is a mandatory field.**
- 22. Number of Addenda Records*** - Can be up to 4 digits; (Numeric). Located in the Entry Detail Record (6 record). **Note: IAT transactions contain a minimum of 7 Addenda records. This is a mandatory field.**

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