



# Fedwire® Funds Service Security Procedure Agreement Operating Circular 6 - Appendix A-1

Federal Reserve Use Only – Internal FR

OAL Verification:

Authority  Signature

WOS Initials: \_\_\_\_\_

Date: \_\_\_\_\_

To: Federal Reserve Bank of \_\_\_\_\_  
Administrative Reserve Bank (or Reserve Bank holding the Master Account)

We agree to the provisions of your Operating Circular No. 6 and its appendices A and B, and if applicable D (“Circular”), as amended from time to time.

If we (or a Service Provider that we have designated under Appendix C) use an encrypted communications line with access controls for the transmission or receipt of a payment order to or from a Reserve Bank, we choose the On-Line Security Procedure offered as generally described in Appendix A to the Circular. This Security Procedure will be used for the purpose of verifying that a payment order or a communication amending or canceling a payment order (collectively a “payment order”) was issued or received by us.

If we (or a Service Provider that we have designated under Appendix C) use the telephone to orally transmit or receive a payment order to or from a Reserve Bank, we reject the On-Line Security Procedures offered by you and choose the Off-Line Security Procedures generally described in Appendix A to the Circular. This Security Procedure will be used for the purpose of verifying that a payment order was issued or received by us.

Whenever we (or a Service Provider that we have designated under Appendix C) choose to use one of your Security Procedures, we reject the other Security Procedures, and if any one of the rejected Security Procedures is commercially reasonable for us, we agree to be bound by any payment order, whether or not authorized, if it was issued in our name and accepted by a Reserve Bank in compliance with the Security Procedure we selected, subject to Section 4A-203 of Article 4A of the Uniform Commercial Code.

We understand that the On-Line and Off-Line Security Procedures will not be used to detect an error in the transmission or content of a payment order.

We also understand and agree that the Security Procedures established by this Agreement may be changed only by an amendment to Appendix A, which may be published on a Reserve Bank’s website, or other written agreement. This Agreement may not be changed by an oral agreement or by a course of dealing or custom.

Name of Institution	ABA Number	Date
Authorized Signature*	Printed Name	Title

\* The signer of this agreement must appear as an authorized individual on your institution’s Official Authorization List currently on file with the Federal Reserve District servicing your Master Account.

Please complete this form and mail original to:

**Districts:** Boston, New York, Philadelphia, Cleveland, St. Louis and Dallas

**Federal Reserve Bank of Boston**  
Wholesale Operations Site  
P.O. Box 55882  
Boston, MA 02205

**Districts:** Richmond, Atlanta, Chicago, Minneapolis, Kansas City and San Francisco

**Federal Reserve Bank of Kansas City**  
Wholesale Operations Site  
P.O. Box 419033  
Kansas City, MO 64141-6033

## Fedwire Service Forms | Completing the Funds Security Procedure Agreement

The Funds Security Procedure Agreement is Appendix A-1 to Operating Circular 6 – Funds Transfers through Fedwire. This agreement must be completed by financial institutions prior to converting to FedLine Advantage. The agreement must also be completed by new Fedwire Funds customers.

### Instructions for completing the agreement:

- **Federal Reserve Bank Name:** Enter the name of the Federal Reserve Bank that serves as the Administrative Reserve Bank (or Reserve Bank holding the Master Account) for your institution.
- **Institution Information:** Complete all requested information for your institution.
- **Authorized Signature:** The signer of this agreement must appear as an authorized individual on your institution's Official Authorization List currently on file with the Federal Reserve District servicing your Master Account.
- **Submission:** The completed form should be mailed to the Wholesale Operations Site for your District:

Federal Reserve Bank of Boston	Federal Reserve Bank of Kansas City
Wholesale Operations Site P.O. Box 55882 Boston, MA 02205	Wholesale Operations Site P.O. Box 419033 Kansas City, MO 64141-6033
Telephone: (800) 327-0147	Telephone: (800) 333-2448
Districts: Boston, New York, Philadelphia, Cleveland, St. Louis and Dallas	Districts: Richmond, Atlanta, Chicago, Minneapolis, Kansas City and San Francisco