

Instructions
Attachment K1 – Designation of FedEDI Plus Service Participation Point

1. A Participating Institution uses this form to designate a Service Participation Point for its FedEDI Plus Service.
2. The Service Participation Point is a new construct in FedACH that allows a Participating Institution to designate an electronic connection (that is associated with a Federal Reserve digital certificate) to be an electronic access point for the Service for one or more of the Participating Institution's RTN's that may or may not be associated with a digital certificate. The designated electronic connection (and its associated ETI or RTN) may belong to the Participating Institution or the Participating Institution's third party processor.

The Service Participation Manager is a third party that owns the electronic connection that a Participating Institution has designated to be the Participating Institution's Service Participation Point. The Service Participation Manager will administer the FedEDI Plus service on behalf of the Participating Institution

Refer to Appendix F1 of Operating Circular 4 for additional details about the Service Participation Point and Service Participation Point Manager.

3. A Participating Institution can only have one Service Participation Point.
4. A Participating Institution should fill out one form for all its RTNs that will use a Service Participation Point.
5. A Participating Institution completes Part A, B, or C. Only one part can be completed because the designations made in the three different parts are mutually exclusive.
6. Determining which part is applicable:

Complete Part A if the Service will be accessed and administered for your institution's RTNs that are subscribing to the Service from an electronic device that is owned and operated by your institution.

Complete Part B if the Service will be accessed and administered for all your institution's RTNs that are subscribing to the Service from an electronic device that is owned or operated by a third party.

Complete Part C if the Service will no longer be accessed and administered for any of your institution's RTNs from a Service Participation Point. Do not use Part C if your institution is only changing its Service Participation Point from one RTN to another.

7. Examples:

Bank ABC has executed three FedEDI Plus Service Request forms for three of its RTNs. Bank ABC wants to designate one of its RTNs as the Service Participation Point for its other two RTNs. Bank ABC completes Part A, listing the one RTN that will serve as the Service Participation Point in Box A1. Bank ABC will list its other two RTNs in Box A2.

Bank ABC wants to change its Service Participation Point to a third party. Bank ABC submits a new Designation of FedEDI Plus Service Participation Point, listing the third party's RTN or ETI in Box B1, listing the name of the third party in Box B2, and listing all three of its RTNs in Box B3. The third party must also sign the form.

Bank ABC wants to keep the same third party Service Participation Point but wants another one of Bank ABC's RTNs to use the Service. Bank ABC executes a FedEDI Plus Service Request Form for its fourth RTN. Bank ABC also provides a new Designation of FedEDI Plus Service Participation Point, listing the third party's RTN or ETI in Box B1, listing the name of the third party in Box B2, and listing all four RTNs in Box B3. The third party must also sign the form.

Bank ABC decides that it no longer wants to use a Service Participation Point. Bank ABC provides a new Designation of FedEDI Plus Service Participation Point and completes Part C.

8. Box A2 or B3.

Your institution must own all the RTNs listed in this box.

There must be an executed FedEDI Service Request Form for each of the RTNs listed in this box.

If your institution has more than 12 RTNs to list, use as many copies of Part A or B as necessary to list the remaining RTNs. On each copy note beside the heading of Part A or B the copy number and total number of copies. For instance, "1 of 3," "2 of 3", and "3 of 3." Initial beside these notes. The signature box on the last copy should be signed. So if there are three copies, the third copy should be signed.

9. The person signing Part A must be listed on the Official Authorization List for the RTNs listed in Box A1 and Box A2. The person signing Part B on behalf of the Participating Institution must be listed on the Official Authorization List for all the RTNs listed in Box B3.